



## OFF-SHORE FUND SERVICES

Gallium has extensive experience in the provision of services to funds structured outside of the UK. We specialise in providing such services to the financial services sector, ranging from start-ups to established funds and we can accommodate a variety of fund structures and asset classes.

### The Client

Typically, the client is an experienced asset manager looking to expand their existing business or start a new business initiative. They are either seeking advice on the optimum fund structure or have been advised that the fund should be structured outside of the UK.

Such a client may be seeking:

- assistance with the project management;
- assistance with the preparation of the financial promotions;
- an initial Operator for the proposed fund; and/or
- an on-going investment adviser.

Gallium has assisted numerous clients across a variety of asset classes, including:

- investment fund managers
- asset managers
- property managers
- property developers
- private equity managers
- forex traders
- loan providers
- fund administrators
- institutional investors
- local authorities
- property surveyor firms

### Areas where Gallium can assist

The Gallium team is experienced in the development of solutions for the structure of funds, identification of investors, management of the launch process and management of the regulatory aspects once the fund is launched.

### Project Management

Gallium works with clients that have specialist asset management knowledge and experience, but may lack the necessary regulatory permissions to conduct investment business. We can provide a comprehensive project management service to ensure that the proposed fund structure is implemented in time and on budget. We can also introduce third party specialist advisers to assist with tax advice, legal advice and regulatory advice. We can also introduce off-shore managers and administrators.

### Preparation and Verification of the Financial Promotions

Gallium can assist with compliance with financial promotions regulations and other legislation. We have experience advising on FSMA and financial promotion issues and can guide you through the relevant procedures. As an authorised person we can issue and approve financial promotions for the purposes of Section 21 of FSMA.

### Initial Operator

Gallium also works with funds that are registered off-shore but invest in assets situated in the UK or are promoted within the UK. In such circumstances the off-shore fund manager and/or the off-shore regulators may require that a person authorised and regulated in the UK acts as the initial Operator or Distributer for the fund.

Gallium provides a comprehensive service to enable clients to promote their funds in the UK or invest in assets situated in the UK. We have assisted with the launch of funds established in numerous different countries and regions, including:

- Jersey
- Guernsey
- USA
- Luxembourg
- France
- Isle of Man
- Italy
- Malta
- Republic of Ireland
- Germany

### Investment Adviser

Gallium provides the regulated service of providing investment advice to the off-shore fund, thus enabling fund managers or board directors to proceed with the purchase or sale of assets in accordance with the investment criteria of the funds.

Gallium has experience of a variety of asset classes. Our due diligence process is carried out within a structured framework designed to ensure that the fund managers do not breach the investment criteria and also to identify and address potential risks. We identify specific strengths and weaknesses to enable fund managers to make their investment decisions.





### Why Gallium?

- ✓ The Gallium team has extensive experience in the provision of investment advisory services to both on-shore and off-shore fund vehicles.
- ✓ The Gallium team has in depth knowledge enabling them to identify and implement suitable structures and mechanisms for launching and operating alternative investment opportunities.
- ✓ The Gallium team has assisted many of the leading institutional and professional investment managers.
- ✓ Gallium is an independent Operator.
- ✓ Gallium can accommodate any asset class.
- ✓ Gallium works with both institutional and private investors.
- ✓ Gallium is vastly experienced and cost effective.

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**Gallium's primary aim is to enable its clients to create exciting investment opportunities**

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### Next Step

If you are interested in off-shore fund services then please contact us to obtain further information and to arrange a meeting to discuss your requirements in more detail.

